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Nonequilibrium thermodynamics with feedback

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- 1 Nonequilibrium thermodynamics without feedback
 - Classical nonequilibrium processes
 - Quantum nonequilibrium processes

- 2 Nonequilibrium thermodynamics with feedback
 - Classical information thermodynamics
 - Quantum information thermodynamics

Introduction

Observation:

Thermodynamics describes **equilibrium** transformations

Challenge:

Generalization to arbitrary **nonequilibrium** processes

Motivation:

Far from equilibrium quantum regime accessible in recent cold-atom experiments



Thermodynamics: a short reminder

Equilibrium (nonequilibrium) processes:

$$\text{Entropy: } \Delta S = Q/T + \Sigma$$

$$\text{Work: } W = \Delta F + W_{irr} \quad (F = U - TS = \text{free energy})$$

$$\text{with } \langle \Sigma \rangle \geq 0 \text{ and } \langle W_{irr} \rangle \geq 0 \quad (\text{Second law})$$

→ Thermodynamics does not allow computation of Σ , W_{irr}

Nonequilibrium entropy production:

$$\Sigma = \beta(W - \Delta F) = \beta W_{irr} \quad \beta = 1/(kT)$$

→ Difference between total work and equilibrium work

Nonequilibrium entropy production

Maximum extractable work:

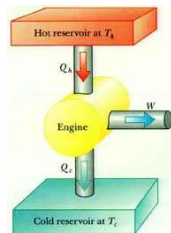
$$-\langle W \rangle = -\Delta F - kT \langle \Sigma \rangle \leq -\Delta F$$

→ is reduced by nonequilibrium entropy production

Efficiency of thermodynamic devices:

$$\mathcal{E} = \left(1 - \frac{T_1}{T_2}\right)Q - T_1 \Sigma$$

→ fundamental quantity



Large versus small systems

In **large** systems, fluctuations are **negligible** ($\sim 1/\sqrt{N}$)

→ W , Q and Σ are **deterministic** variables

In **small** systems, fluctuations are **important**

→ W , Q and Σ are **stochastic** variables, in particular $\Sigma < 0$

→ **second law needs to be generalized**

Here: we focus on work $P(W)$ and entropy $P(\Sigma)$ distribution

Generalizations of the second law

Fluctuation theorem:

Evans, Morris and Cohen PRL (1993)

$$\frac{P(\Sigma)}{P(-\Sigma)} = e^{\Sigma}$$

→ **negative** fluctuations exponentially **small**: $P(-\Sigma) = P(\Sigma)e^{-\Sigma}$

Jarzynski equality:

Jarzynski PRL (1997)

$$\langle \exp(-\beta W) \rangle = \exp(-\beta \Delta F)$$

→ **equilibrium** free energy from **nonequilibrium** work $P(W)$

General form: $\langle e^{-\Sigma} \rangle = 1$ implies $\langle \Sigma \rangle \geq 0$

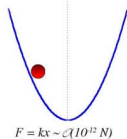
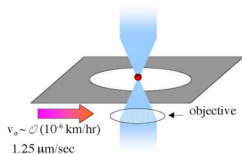
→ **valid far from equilibrium** (beyond linear response)

Experiment: Fluctuation theorem

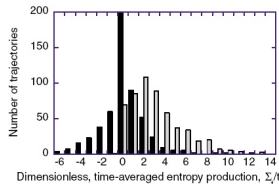
Colloidal particle in a driven optical trap:

Wang *et al.* PRL (2002)

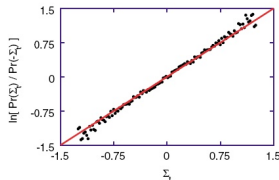
Experimental Confirmation of FT using Optical Tweezers



$$k < 0.1 \text{ pN}/\mu\text{m}, 1.0 \times 10^{-5} \text{ pN}/\text{\AA}$$



Average over 540 trajectories:
 $t = .01\text{s}$ (black) and $t = 2\text{s}$ (grey)



quadrant photodiode position detector sensitive to 15 nm, means that we can resolve forces down to 0.002 pN (*c.f.* $k_B T = 4.1 \text{ pNnm}$, or thermal forces of 0.2 pN)

Wang, Sevick, Mittag, Searles & Evans, PRL 2002

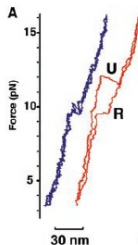
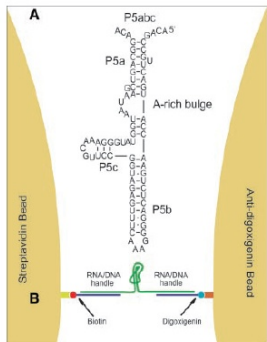
Latex beads $d = 6,3 \text{ micron}$

$$\Sigma = \beta W_{ir} = \beta \int_0^t ds \mathbf{v} \cdot \mathbf{F}(s)$$

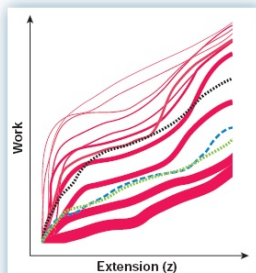
Experiment: Jarzynski equality

Stretching of single RNA molecule:

Liphardt *et al.* Science (2002)



Average over 40 pullings



red: $W(z) = \int_0^z dx F(x)$

green: $\Delta F = \langle W_{rev} \rangle$

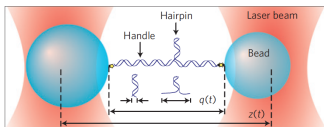
black: $\langle W \rangle > \Delta F$

blue: $\Delta F = -kT \ln \langle \exp(-\beta W) \rangle$

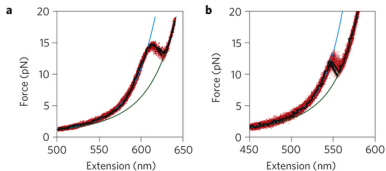
Usefulness of the Jarzynski equality

Free-energy surface reconstruction:

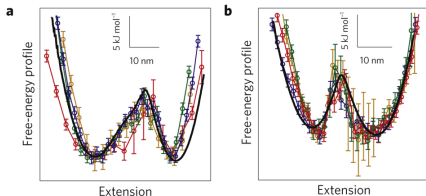
Gupta *et al.* Nature Phys. (2011)



About 2000-3000 force extension curves:



Yield free energy surface:



Quantum experiment: Crooks equality

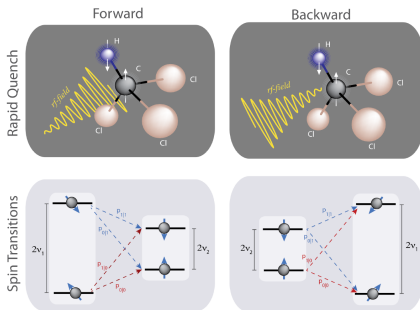
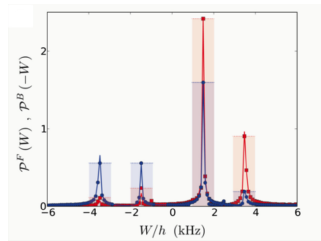
Batalhão *et al.* PRL (2014)

Crooks equality:

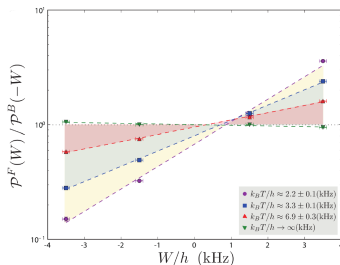
Crooks PRE (1999)

$$\frac{P_F(W)}{P_R(-W)} = e^{\beta(W - \Delta F)} = e^{\Sigma}$$

NMR system with B field quench:

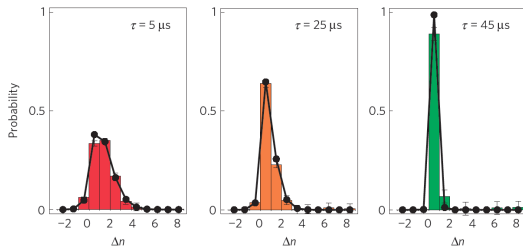
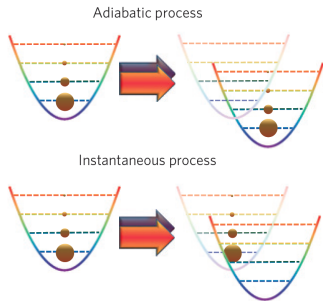


Quantum work distribution



Quantum experiment: Jarzynski equality

Shifted harmonic potential (trapped ion): *An et al. Nature Phys. (2015)*



Based on theory presented in *Huber, Schmidt-Kaler, Deffner, Lutz PRL (2008)*

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Information thermodynamics

What happens when a system is measured (feedback)?

- information is gained about the system
- second law needs to be generalized (again)

Generalized second law:

Sagawa and Ueda, PRL (2010)

$$\langle e^{-(\Sigma - I)} \rangle = 1$$

Maximal extractable work:

Sagawa and Ueda, PRL (2008)

$$-\langle W \rangle \leq -\Delta F + kT \langle I \rangle$$

where $\langle I \rangle = H(P) - \sum_i p_i H(\tilde{P}_i) =$ mutual information

- more work can be extracted with feedback

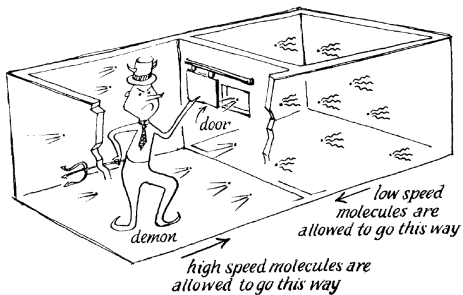
(Maxwell's demon)

Lutz and Ciliberto, Physics Today (2015)

Maxwell's demon

Gas in a partitioned box

Maxwell 1867/1871



Information about particle (position/velocity) leads to sorting

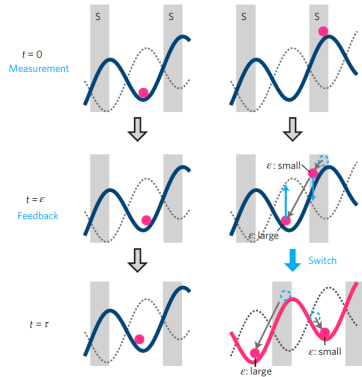
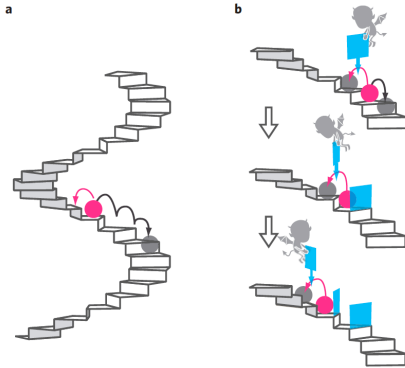
→ temperature difference (decrease of entropy without work)
(could be used to run a heat engine and produce work)

→ apparent violation of the second law

Classical experiment

Brownian particle in a tilted periodic potential

Toyabe *et al.* Nature Phys. (2010)

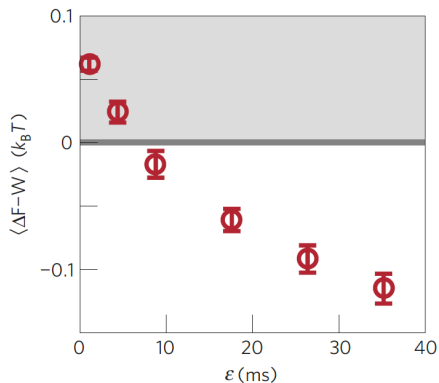


→ demonstration of information-to-work conversion

Classical experiment

Brownian particle in a tilted periodic potential

Toyabe *et al.* Nature Phys. (2010)



→ more work is extracted in white area

Quantum Maxwell's demon experiments

PRL 117, 240502 (2016)

Selected for a Viewpoint in *Physics*
PHYSICAL REVIEW LETTERS

week ending
9 DECEMBER 2016



Experimental Rectification of Entropy Production by Maxwell's Demon in a Quantum System

Patrice A. Camati,¹ John P. S. Peterson,² Tiago B. Batalhão,¹ Kaonan Micadei,¹ Alexandre M. Souza,²
Roberto S. Sarthour,² Ivan S. Oliveira,² and Roberto M. Serra^{1,3,7}

Observing a quantum Maxwell demon at work

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PNAS



ARTICLE

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OPEN

Information-to-work conversion by Maxwell's demon in a superconducting circuit quantum electrodynamical system

Y. Masuyama¹, K. Funo², Y. Murashita³, A. Noguchi¹, S. Kono¹, Y. Tabuchi¹, R. Yamazaki¹, M. Ueda^{3,4} & Y. Nakamura^{1,4}

- projective measurements destroy coherence
- can be explained with *classical* information

Information in classical measurements:

Shannon (1948)

$$\langle I \rangle_c = H(P) - \sum_i p_i H(\tilde{P}_i) \geq 0$$

where H is the Shannon entropy

→ information always gained

Information in quantum measurements:

Groenewold (1971)

$$\langle I \rangle_q = \mathcal{S}(\rho) - \sum_i p_i \mathcal{S}(\tilde{\rho}_i)$$

where H is the von Neumann entropy

→ can be **negative** for weak measurements because of **backaction**

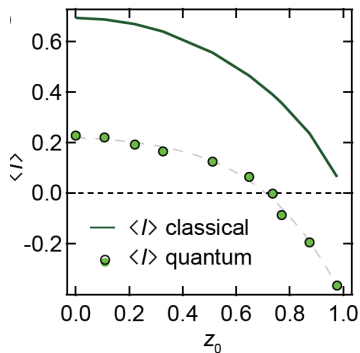
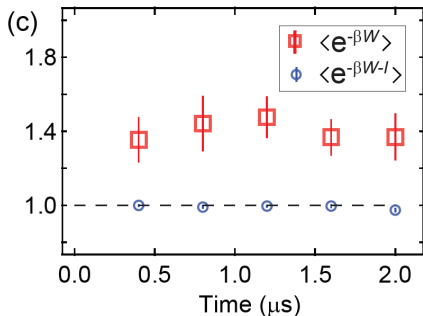
→ information sometimes lost: disturbance larger than gain Funo PRE 2013

Quantum experiment

Periodically driven qubit in a superconducting circuit

Naghiloo *et al.*, arXiv:1802.07205

- (1) Information: qubit (**coherent**) evolution weakly measured
- (2) Feedback: qubit brought to ground state (**work extraction**)



→ Maxwell's demon with *quantum* information $z_0 = \tanh(\beta\omega/2)$

Summary

- in **small** systems **thermal/quantum** fluctuations dominate
 - **second law** needs to be **generalized**
(fluctuation theorems)
- **measurements** are **not** included in thermodynamics
 - **second law** needs to be **generalized**
(FT with feedback: more work can be extracted)
- information can be **lost** due to **quantum backaction**
 - typical **quantum** signature