

INVESTMENTS IN EDUCATION DEVELOPMENT

QUANTUM STATES AND CLASSICAL COMPUTATION: JOINT QUEST FOR THE INFORMATION SECURITY

Vladyslav C. Usenko



Department of Optics, Palacký University, Olomouc, Czech Republic

Masaryk University in Brno, 2011

Outline

- Quantum vs classical cryptography, motivation
- Discrete-variable quantum key distribution
- Continuous-variable quantum key distribution
- Security analysis
- Resources: classical, quantum, computational
- Summary



Practical motivation: necessity in secure communication between two trusted parties (**Alice** and **Bob**)



<u>Practical motivation</u>: necessity in secure communication between two trusted parties (Alice and Bob) Eve tries to eavesdrop



CLASSICAL CRYPTOGRAPHY

Asymmetrical schemes (RSA, DSA); symmetrical (DES, AES, RC4, MD5), mixed.

<u>Problem:</u> all methods are based on the mathematical complexity, thus are potentially vulnerable (due to progress in mathematical methods or quantum computation)



CLASSICAL CRYPTOGRAPHY

Asymmetrical schemes (RSA, DSA); symmetrical (DES, AES, RC4, MD5), mixed.

<u>Problem:</u> all methods are based on the mathematical complexity, thus are potentially vulnerable (due to progress in mathematical methods or quantum computation)

<u>Alternative:</u> **one-time pad** (*Vernam, 1919*) - the only crypto-system mathematically proven secure (*Shannon, 1949*)

<u>Problem:</u> both parties have to share a secure key



CLASSICAL CRYPTOGRAPHY

Asymmetrical schemes (RSA, DSA); symmetrical (DES, AES, RC4, MD5), mixed.

<u>Problem:</u> all methods are based on the mathematical complexity, thus are potentially vulnerable (due to progress in mathematical methods or quantum computation)

<u>Alternative:</u> **one-time pad** (*Vernam, 1919*) - the only crypto-system mathematically proven secure (*Shannon, 1949*)

Problem: both parties have to share a secure key

Solution: Quantum key distribution (QKD)

"Fundamental" motivation:

- Secrecy as a merit to test quantum properties (*H. J. Kimble, Nature 453, 1023-1030, 2008*)
- Inspiring to investigate the role of nonclassicality, coherence/decoherence, noise etc.

Quantum information: applications

- Fundamental tests
- Quantum computing
- Super-dense coding
- Quantum teleportation
- Quantum key distribution

- Alice generates a key (random bit string)
- Alice randomly chooses the basis and prepares a state
- Bob randomly chooses the basis and measures the state
- Key sifting (bases reconciliation)
- Error correction
- Privacy amplification

[C. H. Bennett and G. Brassard, in Proceedings of the International Conference on Computer Systems and Signal Processing (Bangalore, India, 1984), pp. 175–179]

- Alice generates a key (random bit string)
- Alice randomly chooses the basis and prepares a state
- Bob randomly chooses the basis and measures the state
- Key sifting (bases reconciliation)
- Error correction:

QBER vs BER. Block codes etc. to correct the errors. Simple example: XOR two bits, check the result, keep one or none.

• Privacy amplification:

Reduces the possible Eve's information on the key. Simple example: replace two bits with their XOR. Probability for Eve to know the result is reduced.

E.g.: Eve knows bits with 60% probability, then she knows XOR with

 $0.6^2 + 0.4^2 = 52\%.$

[Ch.H. Bennett, G. Brassard, C. Crepeau, and U.M. Maurer, 1995, "Generalized privacy amplification", IEEE Trans. Information th., 41, 1915-1923.]

Security: No-cloning, measurement disturbance, Eve introduces errors.

Information-theoretical analysis

Classical (Shannon) mutual information: I(X;Y) = H(X) - H(X|Y)

$$H(X) = -\sum_{x \in X} p(x) \log p(x)$$
$$H(X|Y) = -\sum_{x,y} p(x,y) \log p(x|y) = H(X,Y) - H(Y)$$

Security: No-cloning, measurement disturbance, Eve introduces errors.

Information-theoretical analysis

Classical (Shannon) mutual information: I(X;Y) = H(X) - H(X|Y)

$$H(X) = -\sum_{x \in X} p(x) \log p(x)$$
$$H(X|Y) = -\sum_{x,y} p(x,y) \log p(x|y) = H(X,Y) - H(Y)$$

Csiszar-Korner theorem, lower bound on the secure key rate:

$$S(\alpha, \beta || \epsilon) \geq \max\{I(\alpha, \beta) - I(\alpha, \epsilon), I(\alpha, \beta) - I(\beta, \epsilon)\}$$

i.e. Alice (or Bob) needs to have more information than Eve!

[Csiszar, I. and Korner, J., 1978, "Broadcast channels with confidential messages", IEEE Transactions on Information Theory, Vol. IT-24, 339-348.]

Quantum key distribution: security

Individual attacks. Key rate:

$$I_i = I_{AB} - I_{BE}$$



Quantum key distribution: security



R. Renner, N. Gisin, and B. Kraus, Phys. Rev. A 72, 012332 (2005) R. Garcia-Patron, Ph.D. Thesis, Université Libre de Bruxelles (2007)

Error correction efficiency

Key rate upon imperfect error correction:

$$I = \int_0^\infty d\beta_x p_c(\beta_x) [1 - f(e) \mathbf{H}^{\mathsf{bin}}(e) - \chi(\beta_x)]$$

where

$$H^{\rm bin}(e) = -e \log_2(e) - (1-e)\log_2(1-e).$$

efficiency of CASCADE:

е	f(e)
0.01	1.16
0.05	1.16
0.1	1.22
0.15	1.32

M. Heid and N. Lütkenhaus, Phys. Rev. A 73, 052316 (2006)

Instead of the preparation-and-measurement, Alice and Bob have entangled source in the middle:



- Alice and Bob measure a particle each
- Key is generated in the process of measurement!
- Next stages same as in BB84

(key sifting, error correction, privacy amplification)

[A.K. Ekert, Phys. Rev. Lett. 67, 661-663 (1991)]

Instead of the preparation-and-measurement, Alice and Bob have entangled source in the middle:



Security is based on Bell inequalities violation check (whether the state remains nonclassical)

[A.K. Ekert, Phys. Rev. Lett. 67, 661-663 (1991)]

Instead of the preparation-and-measurement, Alice and Bob have entangled source in the middle:



Can be used for BB84 protocol.

The EPR-based and prepare-and-measure schemes are equivalent.

[A.K. Ekert, Phys. Rev. Lett. 67, 661-663 (1991)]

Quantum key distribution: state-of-art

Commercial realizations:



~100 km, ~1 kbps

Problem: absence of single-photon sources, high detectors "dark count" rates

<u>Perspectives:</u> transition from single particles to multi-particle states (**continuous variables** coding).

Canonical infinite-dimensional quantum system, defined on a Hilbert space:

$$\mathscr{H} = \bigotimes_{i=1}^{\mathcal{H}} \mathscr{H}_i$$

Bosonic commutation relations:

$$[a_k, a_{k'}] = [a_k^{\dagger}, a_{k'}^{\dagger}] = 0, \quad [a_k, a_{k'}^{\dagger}] = \delta_{kk'}$$

Canonical infinite-dimensional quantum system, defined on a Hilbert space:

$$\mathscr{H} = \bigotimes_{i=1}^{\mathcal{H}} \mathscr{H}_i$$

Bosonic commutation relations:

$$[a_k, a_{k'}] = [a_k^{\dagger}, a_{k'}^{\dagger}] = 0, \quad [a_k, a_{k'}^{\dagger}] = \delta_{kk'}$$

Field Hamiltonian: $H = \sum_{k} \hbar \omega_{k} (a_{k}^{\dagger} a_{k} + \frac{1}{2})$ Fock states: $|n_{k}\rangle$ eigenstates of photon-number operator

 $a_k^*a_k | n_k \rangle = n_k | n_k \rangle$

Canonical infinite-dimensional quantum system, defined on a Hilbert space:

$$\mathscr{H} = \bigotimes_{i=1}^{\mathcal{H}} \mathscr{H}_i$$

Bosonic commutation relations:

$$[a_k, a_{k'}] = [a_k^{\dagger}, a_{k'}^{\dagger}] = 0, \quad [a_k, a_{k'}^{\dagger}] = \delta_{kk'}$$

Field Hamiltonian: $H = \sum_{k} \hbar \omega_{k} (a_{k}^{\dagger} a_{k} + \frac{1}{2})$ <u>Fock states</u>: $|n_{k}\rangle$ eigenstates of photon-number operator

 $a_k^{\dagger}a_k | n_k \rangle = n_k | n_k \rangle$

<u>Coherent states</u> - eigenstates of annihilation operator: $a | \alpha \rangle = \alpha | \alpha \rangle$

In the Fock states basis: $|\alpha\rangle = e^{-|\alpha|^2/2} \sum \frac{\alpha^n}{(n!)^{1/2}} |n\rangle$

<u>Field quadratures</u>: analogue of the position and momentum operators of a particle:

$$x = a^+ + a, p = i(a^+ - a)$$

$$\hat{r} = (\hat{r}_1, \dots, \hat{r}_{2N})^T = (\hat{x}_1, \hat{p}_1, \hat{x}_2, \hat{p}_2, \dots, \hat{x}_N, \hat{x}_N)^T$$

Commutation relations: [x, p] = 2i

<u>Field quadratures</u>: analogue of the position and momentum operators of a particle:

$$x = a^+ + a, \ p = i(a^+ - a)$$

$$\hat{r} = (\hat{r}_1, \dots, \hat{r}_{2N})^T = (\hat{x}_1, \hat{p}_1, \hat{x}_2, \hat{p}_2, \dots, \hat{x}_N, \hat{x}_N)^T$$

Commutation relations: [x, p] = 2i

- Uncertainty: $\Delta A = \langle A^2 \rangle \langle A \rangle^2$
- Heisenberg relation: $\Delta x \Delta p \ge 1$

For coherent states: $\Delta x = \Delta p = 1$

Phase-space representation.

Characteristic function: $\chi_{\rho}(\xi) = \text{Tr}[\rho D_{\xi}]$, $D_{\xi} = D(\xi^{\star}) = e^{-i\xi^T \hat{r}}$

State density matrix
$$\rho = \frac{1}{(2\pi)^N} \int d^{2N} \xi \chi_{\rho}(-\xi) D_{\xi}$$

Wigner function: Fourier transform $W(\xi) = \frac{1}{(2\pi)^N} \int d^{2N} \zeta e^{i\xi^T \Omega \zeta} \chi_{\rho}(\zeta)$ of the characteristic function.

Phase-space representation.

Characteristic function: $\chi_{\rho}(\xi) = \text{Tr}[\rho D_{\xi}]$, $D_{\xi} = D(\xi^{\star}) = e^{-i\xi^T \hat{r}}$

State density matrix
$$\rho = \frac{1}{(2\pi)^N} \int d^{2N} \xi \chi_{\rho}(-\xi) D_{\xi}$$

Wigner function: Fourier transform $W(\xi) = \frac{1}{(2\pi)^N} \int d^{2N} \zeta e^{i\xi^T \Omega \zeta} \chi_{\rho}(\zeta)$ of the characteristic function.

Covariance matrix:

Explicitly describes Gaussian states

$$\gamma_{ij} = \langle r_i r_j \rangle - \langle r_i \rangle \langle r_j \rangle$$

Generalized Heisenberg uncertainty principle: $\gamma + i\Omega \ge 0$

$$\Omega = \bigoplus_{i=1}^{N} \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} - \text{symplectic form}$$

Bosonic commutation relations: $[\hat{r}_k, \hat{r}_l] = i\Omega_{kl}$

<u>Squeezed states</u>: quadrature uncertainty is less than shotnoise limit

 $\Delta x < 1$

$$\Delta x \Delta p = 1 \Rightarrow \Delta p > 1$$

<u>Squeezed states</u>: quadrature uncertainty is less than shotnoise limit

$$\Delta x < 1$$
$$\Delta x \Delta p = 1 \Rightarrow \Delta p > 1$$

on the phase space:



<u>Squeezed states</u>: quadrature uncertainty is less than shotnoise limit

 $\Delta x < 1$ $\Delta x \Delta p = 1 \Rightarrow \Delta p > 1$

on the phase space:



Achievements: -10 dB (Vahlbruch et. al., PRL 100, 033602, 2008)

<u>Coherent states protocol:</u> laser beam quadrature modulation, homodyne detection (*F.Grosshans, P. Grangier, Phys Rev Lett, 88, 057092 (2002), F. Grosshans et al., Nature 421, 238 (2003))*



•Alice generates two Gaussian random variables {a,b}

- •Alice prepares a coherent state, displaced by {a,b}
- •Bob measures a quadrature, obtaining **a** or **b**
- •Bases reconciliation
- •Error correction, privacy amplification

Achievements: 25 km, 2 kbps *J. Lodewyck et al., PRA 76, 042305 (2007)*



<u>Squeezed-states protocol:</u> squeezed states quadrature modulation, homodyne detection (*N. J. Cerf, M. Levy, and G. Van Assche, Phys Rev A 63, 052311 (2001))*



- •Alice generates a Gaussian random variable **a**
- •Alice prepares a squeezed state,
- displaced by \boldsymbol{a} in squeezed direction
- •Bob measures a quadrature
- Bases reconciliation
- •Error correction, privacy amplification



<u>Squeezed-states protocol:</u> squeezed states quadrature modulation, homodyne detection (*N. J. Cerf, M. Levy, and G. Van Assche, Phys Rev A 63, 052311 (2001))*



Was not practically implemented,

investigated mainly for high squeezing








Extremality of Gaussian states

Wolf-Giedke-Cirac theorem. If *f* satisfies:

- 1. Continuity in trace norm (if $\|\rho_{AB}^{(n)} \rho_{AB}\|_1 \to 0$ when $n \to \infty$, then $f(\rho_{AB}^{(n)}) \to f(\rho_{AB})$
- 1. Invariance over local "Gaussification" unitaries $f(U_G^{\dagger} \otimes U_G^{\dagger} \rho_{AB}^{\otimes N} U_G \otimes U_G) = f(\rho_{AB}^{\otimes N})$
- 2. Strong sub-additivity $f(\rho_{A_{1...N}B_{1...N}}) \leq f(\rho_{A_{1}B_{1}}) + ... + f(\rho_{A_{N}B_{N}})$

Then, for every bipartite state ρ_{AB} with covariance matrix γ_{AB} we have

 $f(\rho_{AB}) \leq f(\rho_{AB}^G)$

[M. M. Wolf, G. Giedke, and J. I. Cirac. Phys. Rev. Lett. 96, 080502 (2006)]

Extremality of Gaussian states

Wolf-Giedke-Cirac theorem. If *f* satisfies:

- 1. Continuity in trace norm (if $\|\rho_{AB}^{(n)} \rho_{AB}\|_1 \to 0$ when $n \to \infty$, then $f(\rho_{AB}^{(n)}) \to f(\rho_{AB})$
- 1. Invariance over local "Gaussification" unitaries $f(U_G^{\dagger} \otimes U_G^{\dagger} \rho_{AB}^{\otimes N} U_G \otimes U_G) = f(\rho_{AB}^{\otimes N})$
- 2. Strong sub-additivity $f(\rho_{A_1...N}B_{1...N}) \leq f(\rho_{A_1B_1}) + ... + f(\rho_{A_NB_N})$

Then, for every bipartite state ρ_{AB} with covariance matrix γ_{AB} we have

 $f(\rho_{AB}) \leq f(\rho_{AB}^G)$

[M. M. Wolf, G. Giedke, and J. I. Cirac. Phys. Rev. Lett. 96, 080502 (2006)]

Consequence:

Gaussian states maximize the information leakage. Covariance matrix description is enough to prove security.

[R. Garcıa-Patron and N.J. Cerf. Phys. Rev. Lett. 97, 190503, (2006); M. Navascus, F. Grosshans and A. Acin, Phys. Rev. Lett. 97, 190502 (2006)]

CV Quantum key distribution: security

<u>Collective attacks:</u> $I = I_{AB} - \chi_{BE}$

<u>Holevo quantity:</u> $\chi_{BE} = S_E - \int P(B)S_{E|B}dB$, $\chi_{BE} = S(\rho_E) - S(\rho_{E|B})$

(Renner, Gisin, Kraus, Phys. Rev. A 72, 012332, 2005)

computation: $S_E = \sum_{i} G\left(\frac{\lambda_i - 1}{2}\right), \quad G(x) = (x+1)\log_2(x+1) - x\log_2 x$

 λ_i - symplectic eigenvalues of the covariance matrix γ_E ,

similarly for $\gamma_E^{x_B} = \gamma_E - \sigma_{BE} (X \gamma_B X)^{MP} \sigma_{BE}^T$

CV Quantum key distribution: security

<u>Collective attacks:</u> $I = I_{AB} - \chi_{BE}$

<u>Holevo quantity:</u> $\chi_{BE} = S_E - \int P(B)S_{E|B}dB$, $\chi_{BE} = S(\rho_E) - S(\rho_{E|B})$

(Renner, Gisin, Kraus, Phys. Rev. A 72, 012332, 2005)

computation: $S_E = \sum_{i} G\left(\frac{\lambda_i - 1}{2}\right), \quad G(x) = (x+1)\log_2(x+1) - x\log_2 x$

 λ_i - symplectic eigenvalues of the covariance matrix γ_E ,

similarly for $\gamma_E^{x_B} = \gamma_E - \sigma_{BE} (X \gamma_B X)^{MP} \sigma_{BE}^T$

In case of channel noise – purification by Eve:

$$S(\rho_E) = S(\rho_{AB}) \qquad S(\rho_{E|B}) = S(\rho_{A|B})$$
$$\gamma_A^{x_B} = \gamma_A - \sigma_{AB} (X\gamma_B X)^{MP} \sigma_{AB}^T \qquad X = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$









Framework: covariance matrices

Transformation on a beam splitter:

 $1 \xrightarrow{in} T$ 2_{out} $\begin{vmatrix} \hat{a}_1 \\ \hat{a}_2 \end{vmatrix} = \begin{vmatrix} \cos\gamma & \sin\gamma \\ -\sin\gamma & \cos\gamma \end{vmatrix} \begin{vmatrix} \hat{a}_1 \\ \hat{a}_2 \end{vmatrix}$ $\sqrt{T} = \cos \gamma$ - transmittance; $\sin \gamma = \sqrt{1 - T}$ - reflectance $\begin{vmatrix} \hat{r}_1 \\ \hat{r}_2 \end{vmatrix} = \begin{vmatrix} \cos \gamma \mathbb{I} & \sin \gamma \mathbb{I} \\ -\sin \gamma \mathbb{I} & \cos \gamma \mathbb{I} \end{vmatrix} \begin{vmatrix} \hat{r}_1 \\ \hat{r}_2 \end{vmatrix}.$

Framework: covariance matrices

EPR-source covariance matrix:

$$\gamma_{AB} = \begin{pmatrix} V\mathbb{I} & \sqrt{V^2 - 1}\sigma_z \\ \sqrt{V^2 - 1}\sigma_z & V\mathbb{I} \end{pmatrix}$$
$$\gamma_A = \begin{pmatrix} V & 0 \\ 0 & V \end{pmatrix}$$

After attenuation and lossy channel:

$$\gamma_{ABC} = \begin{pmatrix} V \mathbb{I} & \sqrt{\eta T} \sqrt{V^2 - 1} \sigma_z & \sqrt{1 - T} \sqrt{V^2 - 1} (-\sigma_z) \\ \sqrt{\eta T} \sqrt{V^2 - 1} \sigma_z & [\eta (TV + 1 - T) + (1 - \eta)] \mathbb{I} & \sqrt{\eta T (1 - T)} (1 - V) \mathbb{I} \\ \sqrt{1 - T} \sqrt{V^2 - 1} (-\sigma_z) & \sqrt{\eta T (1 - T)} (1 - V) \mathbb{I} & [(1 - T)V + T] \mathbb{I} \end{pmatrix}$$

Framework: covariance matrices

EPR-source covariance matrix:

$$\gamma_{AB} = \begin{pmatrix} V\mathbb{I} & \sqrt{V^2 - 1}\sigma_z \\ \sqrt{V^2 - 1}\sigma_z & V\mathbb{I} \end{pmatrix}$$
$$\gamma_A = \begin{pmatrix} V & 0 \\ 0 & V \end{pmatrix}$$

After attenuation and lossy channel:

$$\gamma_{ABC} = \begin{pmatrix} V \mathbb{I} & \sqrt{\eta T} \sqrt{V^2 - 1} \sigma_z & \sqrt{1 - T} \sqrt{V^2 - 1} (-\sigma_z) \\ \sqrt{\eta T} \sqrt{V^2 - 1} \sigma_z & [\eta (TV + 1 - T) + (1 - \eta)] \mathbb{I} & \sqrt{\eta T (1 - T)} (1 - V) \mathbb{I} \\ \sqrt{1 - T} \sqrt{V^2 - 1} (-\sigma_z) & \sqrt{\eta T (1 - T)} (1 - V) \mathbb{I} & [(1 - T)V + T] \mathbb{I} \end{pmatrix}$$

More modes – larger matrix. For 4-5 modes – generally analytically unsolvable

Distinguishing the noise types: trusted (preparation ΔV and detection \mathcal{X} noise) and untrusted (channel noise \mathcal{E})



Distinguishing the noise types: trusted (preparation ΔV and detection \mathcal{X} noise) and untrusted (channel noise \mathcal{E})



Distinguishing the noise types: trusted (preparation ΔV and detection \mathcal{X} noise) and untrusted (channel noise \mathcal{E})



Distinguishing the noise types: trusted (preparation ΔV and detection \mathcal{X} noise) and untrusted (channel noise \mathcal{E})



Trusted preparation noise. Coherent states: phase-insensitive excess noise



<u>Distinguishing the noise types</u>: trusted (preparation ΔV and detection \mathcal{X} noise) and untrusted (channel noise \mathcal{E})



Trusted preparation noise. Coherent states: phase-insensitive excess noise

Is security breaking:

$$\Delta V_{I,max} = \frac{1}{1 - \eta}$$

 η - channel transmittance

<u>Distinguishing the noise types</u>: trusted (preparation ΔV and detection \mathcal{X} noise) and untrusted (channel noise \mathcal{E})



Trusted preparation noise. Coherent states: phase-insensitive excess noise



<u>Distinguishing the noise types</u>: trusted (preparation ΔV and detection \mathcal{X} noise) and untrusted (channel noise \mathcal{E})



Trusted preparation noise. Coherent states: phase-insensitive excess noise

Purification restores security:

$$\Delta V_{I,max} = \frac{1}{T(1-\eta)}$$

[V. Usenko, R. Filip, Phys. Rev. A 81, 022318 (2010) / arXiv:0904.1694]

<u>Distinguishing the noise types</u>: trusted (preparation ΔV and detection \mathcal{X} noise) and untrusted (channel noise \mathcal{E})



Trusted preparation noise. Coherent states: phase-insensitive excess noise

What if noise is correlated?



Turning noise to correlations: additional modulator







[V. Usenko and R. Filip, New J. Phys., **13**, 113007, (2011) / arXiv:1111.2311]

Super-optimized protocol



Alice applies gain factor to her data:

$$x'_A = gx_A + x_M$$

Covariance and correlation matrices:

$$\begin{split} \gamma_A &= \Big[g^2 \frac{1}{2} \Big(\frac{1+V_0^2}{V_0} + \Delta V_0 \Big) + \Delta V \Big] \mathbb{I} \\ \sigma_{AB} &= \Big[g \frac{1}{2} \Big(\frac{1-V_0^2}{V_0} + \Delta V_0 \Big) + \Delta V \Big] \sigma_z \end{split}$$

Super-optimized protocol





The protocol overcomes the coherent-state protocol upon any degree of squeezing

Proof-of-principle

Performed at the Denmark Technical University, Lyngby (NLQO group, Prof. Ulrik Andersen)



Sketch of the set-up

Proof-of-principle



Raw quadrature data (left); covariance matrices (right)

Proof-of-principle



Untrusted channel simulation results: the squeezedstate protocol with the obtained states outperforms any coherent-state protocol (in tolerable noise and distance)

L. Madsen, V. Usenko, M. Lassen, R. Filip, U. Andersen, arXiv:1110.5522

Resources in CV QKD

- Classical modulation is helpful
- Coherent states are enough

What is what in CV QKD? What is the role of the resources?

Lower bound on secure key rate (collective attacks) upon realistic reconciliation:

 $I = \beta I_{AB} - \chi_{BE}$

 $\beta \in [0,1]$ - post-processing efficiency (binarization, error correction)

Generally depends on SNR and algorithms.

Lower bound on secure key rate (collective attacks) upon realistic reconciliation:

$$I = \beta I_{AB} - \chi_{BE}$$

 $\beta \in [0,1]$ - post-processing efficiency (binarization, error correction)

Generally depends on SNR and algorithms.

Together with channel noise – main limitation for Gaussian CV QKD (up to 25 km with coherent states at efficiency around 0.8-0.9: *J. Lodewyck et al., PRA 76, 042305, 2007*).



Lower bound on secure key rate (collective attacks) upon realistic reconciliation:

$$I = \beta I_{AB} - \chi_{BE}$$

 $\beta \in [0,1]$ - post-processing efficiency (binarization, error correction)

Generally depends on SNR and algorithms.

Together with channel noise – main limitation for Gaussian CV QKD (up to 25 km with coherent states at efficiency around 0.8-0.9: *J. Lodewyck et al., PRA 76, 042305, 2007*).



Together with mutual information – a classical resource.

Resources (uniquely distinguishable in CV QKD):

- Classical: information, post-processing
- Quantum: states (classical/nonclassical)

Generalized Gaussian P&M scheme:



Not equivalent to a generic entanglement-based scheme.

Generalized Gaussian P&M scheme:



Equivalent to the modified scheme:

50:50





Limited post-processing



Security region (in terms of maximum tolerable excess noise) versus nonclassical resource (squeezing) and classical resource (modulation)

Limited post-processing



Noise threshold profile versus signal state variance (from squeezed to coherent state) upon optimized modulation. Left: direct reconciliation, right: reverse
Strongly limited post-processing

 $\beta \ll 1$ $\eta \ll 1 \quad : \quad I_{AB} = \sigma \eta / \log 4 + O[\eta]^2$



Upper bound on Eve's information (Holevo quantity)

Minimization is achieved upon complete decoupling (zero correlation). Squeezing allows stronger modulation, while coherent states allow no modulation if Holevo quantity needs to be minimized.

[V. Usenko and R. Filip, New J. Phys., **13**, 113007, (2011) / arXiv:1111.2311]

Strongly limited post-processing

 $eta \ll 1$ $\eta \ll 1$: $I_{AB} = \sigma \eta / \log 4 + O[\eta]^2$



Maximal secure modulation:

 $\sigma_{max} = 1 - V$

Upper bound on Eve's information (Holevo quantity)

Minimization is achieved upon complete decoupling (zero correlation). Squeezing allows stronger modulation, while coherent states allow no modulation if Holevo quantity needs to be minimized.

[V. Usenko and R. Filip, New J. Phys., **13**, 113007, (2011) / arXiv:1111.2311]

Strongly limited post-processing

 $eta \ll 1$ $\eta \ll 1$: $I_{AB} = \sigma \eta / \log 4 + O[\eta]^2$



Maximal secure modulation:

 $\sigma_{max} = 1 - V$

For infinite squeezing:

 $V \rightarrow 0$

$$\frac{1}{1+\sqrt{\beta}} < \sigma < \frac{1}{1-\sqrt{\beta}}$$

Upper bound on Eve's information (Holevo quantity)

Minimization is achieved upon complete decoupling (zero correlation). Squeezing allows stronger modulation, while coherent states allow no modulation if Holevo quantity needs to be minimized.

[V. Usenko and R. Filip, New J. Phys., **13**, 113007, (2011) / arXiv:1111.2311]

Summary

- Preparation noise is security-breaking for CV QKD protocols, although being trusted. The states can be purified to restore security;
- Additional correlated modulation improves security region of a squeezed CV QKD protocol;
- Super-optimized protocol uses advantage of both coherent and squeezed protocols, gaining from any degree of squeezing;
- If post-processing efficiency is limited, nonclassicality is required to provide security of CV QKD. Protocols then enter nonclassical regime, when coherence is not enough.
- Nonclassical resource (squeezing) can partly substitute the classical (computational) resource.